

**Application for Recognition of Exemption  
 Under Section 501(c)(3) of the Internal Revenue Code**

OMB No. 1545-0056

*Note: If exempt status is approved, this application will be open for public inspection.*

Read the instructions for each Part carefully.

**A User Fee must be attached to this application.**

If the required information and appropriate documents are not submitted along with Form 8718 (with payment of the appropriate user fee), the application may be returned to you.

**Complete the Procedural Checklist on page 8 of the instructions.**

**Part I Identification of Applicant**

<b>1a</b> Full name of organization (as shown in organizing document) <p align="center">KEY International Foundation</p>		<b>2</b> Employer identification number (EIN) (If none, see page 3 of the <b>Specific Instructions</b> .) <p align="center">36-447-2136</p>
<b>1b</b> c/o Name (if applicable) <p align="center">Bala Batavia</p>		<b>3</b> Name and telephone number of person to be contacted if additional information is needed <p align="center">Bala Batavia ( 312 ) 337-4163</p>
<b>1c</b> Address (number and street) <p align="center">175 East Delaware Place</p>	Room/Suite <p align="center">6605</p>	
<b>1d</b> City, town, or post office, state, and ZIP + 4. If you have a foreign address, see <b>Specific Instructions</b> for Part I, page 3. <p align="center">Chicago, IL 60611-7732</p>		<b>4</b> Month the annual accounting period ends <p align="center">December</p>
<b>1e</b> Web site address <p align="center">web site currently under construction</p>		<b>5</b> Date incorporated or formed <p align="center">September 10, 2001</p>
<b>7</b> Did the organization previously apply for recognition of exemption under this Code section or under any other section of the Code? If "Yes," attach an explanation. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>6</b> Check here if applying under section: a <input type="checkbox"/> 501(e) b <input type="checkbox"/> 501(f) c <input type="checkbox"/> 501(k) d <input type="checkbox"/> 501(n)
<b>8</b> Is the organization required to file Form 990 (or Form 990-EZ)? . . . . . <input type="checkbox"/> N/A <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," attach an explanation (see page 3 of the <b>Specific Instructions</b> ).		
<b>9</b> Has the organization filed Federal income tax returns or exempt organization information returns? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," state the form numbers, years filed, and Internal Revenue office where filed.		

**10** Check the box for the type of organization. ATTACH A CONFORMED COPY OF THE CORRESPONDING ORGANIZING DOCUMENTS TO THE APPLICATION BEFORE MAILING. (See **Specific Instructions** for Part I, Line 10, on page 3.) See also Pub. 557 for examples of organizational documents.)

- a  Corporation—Attach a copy of the Articles of Incorporation (including amendments and restatements) showing approval by the appropriate state official; also include a copy of the bylaws.
- b  Trust— Attach a copy of the Trust Indenture or Agreement, including all appropriate signatures and dates.
- c  Association— Attach a copy of the Articles of Association, Constitution, or other creating document, with a declaration (see instructions) or other evidence the organization was formed by adoption of the document by more than one person; also include a copy of the bylaws.

If the organization is a corporation or an unincorporated association that has not yet adopted bylaws, check here

I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization and that I have examined this application, including the accompanying schedules and attachments, and to the best of my knowledge it is true, correct, and complete.

Please Sign Here	(Signature)	Bala Batavia, President (Type or print name and title or authority of signer)	10/15/2001 (Date)
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**Part II** Activities and Operational Information

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- 1 Provide a detailed narrative description of all the activities of the organization—past, present, and planned. **Do not merely refer to or repeat the language in the organizational document.** List each activity separately in the order of importance based on the relative time and other resources devoted to the activity. Indicate the percentage of time for each activity. Each description should include, as a minimum, the following: **(a)** a detailed description of the activity including its purpose and how each activity furthers your exempt purpose; **(b)** when the activity was or will be initiated; and **(c)** where and by whom the activity will be conducted.

Please see attached sheet for details.

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- 2 What are or will be the organization's sources of financial support? List in order of size.
- (1) Individual donations from the general public and corporations/ fund raising activities (see answer to question 3 below).
  - (2) Sale of hand-made merchandise (such as greeting cards, etc) (see answer to question 3 below and Part IV)
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- 3 Describe the organization's fundraising program, both actual and planned, and explain to what extent it has been put into effect. Include details of fundraising activities such as selective mailings, formation of fundraising committees, use of volunteers or professional fundraisers, etc. Attach representative copies of solicitations for financial support.

Please see attached sheet for details.

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**Part II** Activities and Operational Information (Continued)

4 Give the following information about the organization's governing body:

a Names, addresses, and titles of officers, directors, trustees, etc.

Bala Batavia, 175 E. Delaware Pl., #6605, Chicago, IL 60611; Director; President and Treasurer.  
Cynthianna C. Hahn, 4250 N. Marine Dr., #1322, Chicago, IL 60613; Director; Secretary.  
Bruce Davis, 1140 Ontario St., Oak Park, IL 60302; Director; Vice-President.  
Marc E. Waters, 1828 N. Paulina, #3R, Chicago, IL 60622; Director.  
William A. Weaver, 331 W. Goethe St., Chicago, IL 60610; Director.

b Annual compensation

Pursuant to Article 3, Section 5 of the Corporation's Bylaws, directors shall serve without any compensation whatsoever.

c Do any of the above persons serve as members of the governing body by reason of being public officials or being appointed by public officials? . . . . .  Yes  No  
If "Yes," name those persons and explain the basis of their selection or appointment.

d Are any members of the organization's governing body "disqualified persons" with respect to the organization (other than by reason of being a member of the governing body) or do any of the members have either a business or family relationship with "disqualified persons"? (See **Specific Instructions** for Part II, Line 4d, on page 3.) . . . . .  Yes  No  
If "Yes," explain.

5 Does the organization control or is it controlled by any other organization? . . . . .  Yes  No  
Is the organization the outgrowth of (or successor to) another organization, or does it have a special relationship with another organization by reason of interlocking directorates or other factors? . . . . .  Yes  No  
If either of these questions is answered "Yes," explain.

6 Does or will the organization directly or indirectly engage in any of the following transactions with any political organization or other exempt organization (other than a 501(c)(3) organization): (a) grants; (b) purchases or sales of assets; (c) rental of facilities or equipment; (d) loans or loan guarantees; (e) reimbursement arrangements; (f) performance of services, membership, or fundraising solicitations; or (g) sharing of facilities, equipment, mailing lists or other assets, or paid employees? . . . . .  Yes  No  
If "Yes," explain fully and identify the other organizations involved.

7 Is the organization financially accountable to any other organization? . . . . .  Yes  No  
If "Yes," explain and identify the other organization. Include details concerning accountability or attach copies of reports if any have been submitted.

**Part II** Activities and Operational Information (Continued)

8 What assets does the organization have that are used in the performance of its exempt function? (Do not include property producing investment income.) If any assets are not fully operational, explain their status, what additional steps remain to be completed, and when such final steps will be taken. If none, indicate "N/A."

N/A

9 Will the organization be the beneficiary of tax-exempt bond financing within the next 2 years? . . . .  Yes  No

10a Will any of the organization's facilities or operations be managed by another organization or individual under a contractual agreement? . . . . .  Yes  No

b Is the organization a party to any leases? . . . . .  Yes  No

If either of these questions is answered "Yes," attach a copy of the contracts and explain the relationship between the applicant and the other parties.

11 Is the organization a membership organization? . . . . .  Yes  No  
If "Yes," complete the following:

a Describe the organization's membership requirements and attach a schedule of membership fees and dues.

b Describe the organization's present and proposed efforts to attract members and attach a copy of any descriptive literature or promotional material used for this purpose.

c What benefits do (or will) the members receive in exchange for their payment of dues?

12a If the organization provides benefits, services, or products, are the recipients required, or will they be required, to pay for them? . . . . .  N/A  Yes  No  
If "Yes," explain how the charges are determined and attach a copy of the current fee schedule.

Hand-made products (greeting cards, etc.) produced by the self-help groups in developing countries to support their programs, will be sold by directors and volunteers (see answer to part II, line 3).

b Does or will the organization limit its benefits, services, or products to specific individuals or classes of individuals? . . . . .  N/A  Yes  No  
If "Yes," explain how the recipients or beneficiaries are or will be selected.

13 Does or will the organization attempt to influence legislation? . . . . .  Yes  No  
If "Yes," explain. Also, give an estimate of the percentage of the organization's time and funds that it devotes or plans to devote to this activity.

14 Does or will the organization intervene in any way in political campaigns, including the publication or distribution of statements? . . . . .  Yes  No  
If "Yes," explain fully.

**Part III** Technical Requirements

1 Are you filing Form 1023 within 15 months from the end of the month in which your organization was created or formed? . . . . .  Yes  No  
If you answer "Yes," do not answer questions on lines 2 through 6 below.

2 If one of the exceptions to the 15-month filing requirement shown below applies, check the appropriate box and proceed to question 7.

**Exceptions**—You are not required to file an exemption application within 15 months if the organization:

- a Is a church, interchurch organization of local units of a church, a convention or association of churches, or an integrated auxiliary of a church. See **Specific Instructions**, Line 2a, on page 4;
- b Is not a private foundation and normally has gross receipts of not more than \$5,000 in each tax year; or
- c Is a subordinate organization covered by a group exemption letter, but only if the parent or supervisory organization timely submitted a notice covering the subordinate.

3 If the organization does not meet any of the exceptions on line 2 above, are you filing Form 1023 within 27 months from the end of the month in which the organization was created or formed? . . . . .  Yes  No

If "Yes," your organization qualifies under Regulation section 301.9100-2, for an automatic 12-month extension of the 15-month filing requirement. Do not answer questions 4 through 6.

If "No," answer question 4.

4 If you answer "No" to question 3, does the organization wish to request an extension of time to apply under the "reasonable action and good faith" and the "no prejudice to the interest of the government" requirements of Regulations section 301.9100-3? . . . . .  Yes  No

If "Yes," give the reasons for not filing this application within the 27-month period described in question 3. See **Specific Instructions**, Part III, Line 4, before completing this item. Do not answer questions 5 and 6.

If "No," answer questions 5 and 6.

5 If you answer "No" to question 4, your organization's qualification as a section 501(c)(3) organization can be recognized only from the date this application is filed. Therefore, do you want us to consider the application as a request for recognition of exemption as a section 501(c)(3) organization from the date the application is received and not retroactively to the date the organization was created or formed? . . .  Yes  No

6 If you answer "Yes" to question 5 above and wish to request recognition of section 501(c)(4) status for the period beginning with the date the organization was formed and ending with the date the Form 1023 application was received (the effective date of the organization's section 501(c)(3) status), check here  and attach a completed page 1 of Form 1024 to this application.

**Part III** Technical Requirements (Continued)

**7** Is the organization a private foundation?

- Yes** (Answer question 8.)  
 **No** (Answer question 9 and proceed as instructed.)

**8** If you answer "Yes" to question 7, does the organization claim to be a private operating foundation?

- Yes** (Complete Schedule E.)  
 **No**

After answering question 8 on this line, go to line 14 on page 7.

**9** If you answer "No" to question 7, indicate the public charity classification the organization is requesting by checking the box below that most appropriately applies:

**THE ORGANIZATION IS NOT A PRIVATE FOUNDATION BECAUSE IT QUALIFIES:**

- |          |  |  |
|----------|--|--|
| <b>a</b> | <input type="checkbox"/> As a church or a convention or association of churches<br>(CHURCHES MUST COMPLETE SCHEDULE A.)  | Sections 509(a)(1)<br>and 170(b)(1)(A)(i)                          |
| <b>b</b> | <input type="checkbox"/> As a school (MUST COMPLETE SCHEDULE B.)   | Sections 509(a)(1)<br>and 170(b)(1)(A)(ii)                         |
| <b>c</b> | <input type="checkbox"/> As a hospital or a cooperative hospital service organization, or a medical research organization operated in conjunction with a hospital (These organizations, except for hospital service organizations, MUST COMPLETE SCHEDULE C.)                                      | Sections 509(a)(1)<br>and 170(b)(1)(A)(iii)                        |
| <b>d</b> | <input type="checkbox"/> As a governmental unit described in section 170(c)(1).  | Sections 509(a)(1)<br>and 170(b)(1)(A)(v)                          |
| <b>e</b> | <input type="checkbox"/> As being operated solely for the benefit of, or in connection with, one or more of the organizations described in <b>a</b> through <b>d</b> , <b>g</b> , <b>h</b> , or <b>i</b> (MUST COMPLETE SCHEDULE D.)   | Section 509(a)(3)  |
| <b>f</b> | <input type="checkbox"/> As being organized and operated exclusively for testing for public safety.  | Section 509(a)(4)  |
| <b>g</b> | <input type="checkbox"/> As being operated for the benefit of a college or university that is owned or operated by a governmental unit.  | Sections 509(a)(1)<br>and 170(b)(1)(A)(iv)                         |
| <b>h</b> | <input checked="" type="checkbox"/> As receiving a substantial part of its support in the form of contributions from publicly supported organizations, from a governmental unit, or from the general public.   | Sections 509(a)(1)<br>and 170(b)(1)(A)(vi)                         |
| <b>i</b> | <input type="checkbox"/> As normally receiving not more than one-third of its support from gross investment income and more than one-third of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions). | Section 509(a)(2)  |
| <b>j</b> | <input type="checkbox"/> The organization is a publicly supported organization but is not sure whether it meets the public support test of <b>h</b> or <b>i</b> . The organization would like the IRS to decide the proper classification.   | Sections 509(a)(1)<br>and 170(b)(1)(A)(vi)<br>or Section 509(a)(2) |

**If you checked one of the boxes a through f in question 9, go to question 14. If you checked box g in question 9, go to questions 11 and 12. If you checked box h, i, or j, in question 9, go to question 10.**

**Part III** Technical Requirements (Continued)

- 10** If you checked box **h, i, or j** in question 9, has the organization completed a tax year of at least 8 months?  
 **Yes**—Indicate whether you are requesting:  
 A definitive ruling. (Answer questions 11 through 14.)  
 An advance ruling. (Answer questions 11 and 14 and attach two Forms 872-C completed and signed.)  
 **No**—You must request an advance ruling by completing and signing two Forms 872-C and attaching them to the Form 1023.

- 11** If the organization received any unusual grants during any of the tax years shown in Part IV-A, **Statement of Revenue and Expenses**, attach a list for each year showing the name of the contributor; the date and the amount of the grant; and a brief description of the nature of the grant.

N/A

- 12** If you are requesting a definitive ruling under section 170(b)(1)(A)(iv) or (vi), check here  and:

- a** Enter 2% of line 8, column (e), Total, of Part IV-A . . . . . \_\_\_\_\_  
**b** Attach a list showing the name and amount contributed by each person (other than a governmental unit or “publicly supported” organization) whose total gifts, grants, contributions, etc., were more than the amount entered on line **12a** above.

- 13** If you are requesting a definitive ruling under section 509(a)(2), check here  and:

- a** For each of the years included on lines 1, 2, and 9 of Part IV-A, attach a list showing the name of and amount received from each “disqualified person.” (For a definition of “disqualified person,” see **Specific Instructions**, Part II, Line 4d, on page 3.)  
**b** For each of the years included on line 9 of Part IV-A, attach a list showing the name of and amount received from each payer (other than a “disqualified person”) whose payments to the organization were more than \$5,000. For this purpose, “payer” includes, but is not limited to, any organization described in sections 170(b)(1)(A)(i) through (vi) and any governmental agency or bureau.

14 Indicate if your organization is one of the following. If so, complete the required schedule. (Submit only those schedules that apply to your organization. <b>Do not submit blank schedules.</b> )	Yes	No	If “Yes,” complete Schedule:
Is the organization a church? . . . . .		X	A
Is the organization, or any part of it, a school? . . . . .		X	B
Is the organization, or any part of it, a hospital or medical research organization? . . . . .		X	C
Is the organization a section 509(a)(3) supporting organization? . . . . .		X	D
Is the organization a private operating foundation? . . . . .		X	E
Is the organization, or any part of it, a home for the aged or handicapped? . . . . .		X	F
Is the organization, or any part of it, a child care organization? . . . . .		X	G
Does the organization provide or administer any scholarship benefits, student aid, etc.? . . . .		X	H
Has the organization taken over, or will it take over, the facilities of a “for profit” institution? . . .		X	I

**Part IV Financial Data**

Complete the financial statements for the current year and for each of the 3 years immediately before it. If in existence less than 4 years, complete the statements for each year in existence. If in existence less than 1 year, also provide proposed budgets for the 2 years following the current year.

**A. Statement of Revenue and Expenses**

		Current tax year	3 prior tax years or proposed budget for 2 years			
		(a) From 9/10/01 to 12/31/01	(b) 2002	(c) 2003	(d) .....	(e) TOTAL
<b>Revenue</b>	1 Gifts, grants, and contributions received (not including unusual grants—see page 6 of the instructions) . . . . .		\$2500	\$3000		
	2 Membership fees received . . . . .					
	3 Gross investment income (see instructions for definition) . . . . .					
	4 Net income from organization's unrelated business activities not included on line 3 . . . . .					
	5 Tax revenues levied for and either paid to or spent on behalf of the organization . . . . .					
	6 Value of services or facilities furnished by a governmental unit to the organization without charge (not including the value of services or facilities generally furnished the public without charge) . . . . .					
	7 Other income (not including gain or loss from sale of capital assets) (attach schedule) . . . . .					
	8 <b>Total</b> (add lines 1 through 7)		\$2500	\$3000		
	9 Gross receipts from admissions, sales of merchandise or services, or furnishing of facilities in any activity that is not an unrelated business within the meaning of section 513. Include related cost of sales on line 22 . . . . .		\$1000	\$1000		
	10 <b>Total</b> (add lines 8 and 9) . . . . .		\$3500	\$4000		
	11 Gain or loss from sale of capital assets (attach schedule) . . . . .					
	12 Unusual grants . . . . .					
	13 <b>Total</b> revenue (add lines 10 through 12) . . . . .					
<b>Expenses</b>	14 Fundraising expenses . . . . .					
	15 Contributions, gifts, grants, and similar amounts paid (attach schedule) . . . . .		\$3500	\$4000		
	16 Disbursements to or for benefit of members (attach schedule) . . . . .					
	17 Compensation of officers, directors, and trustees (attach schedule) . . . . .					
	18 Other salaries and wages . . . . .					
	19 Interest . . . . .					
	20 Occupancy (rent, utilities, etc.) . . . . .					
	21 Depreciation and depletion . . . . .					
	22 Other (attach schedule) . . . . .					
	23 <b>Total</b> expenses (add lines 14 through 22) . . . . .		\$3500	\$4000		
	24 Excess of revenue over expenses (line 13 minus line 23)		\$0	\$0		



**Part IV** Financial Data (Continued)

B. Balance Sheet (at the end of the period shown)		Current tax year Date ... 2000 .....
<b>Assets</b>		
1	Cash . . . . .	1 \$100
2	Accounts receivable, net . . . . .	2 \$0
3	Inventories . . . . .	3 \$0
4	Bonds and notes receivable (attach schedule) . . . . .	4 \$0
5	Corporate stocks (attach schedule) . . . . .	5 \$0
6	Mortgage loans (attach schedule) . . . . .	6 \$0
7	Other investments (attach schedule) . . . . .	7 \$0
8	Depreciable and depletable assets (attach schedule) . . . . .	8 \$0
9	Land . . . . .	9 \$0
10	Other assets (attach schedule) . . . . .	10 \$0
11	<b>Total assets</b> (add lines 1 through 10) . . . . .	11 \$100
<b>Liabilities</b>		
12	Accounts payable . . . . .	12 \$0
13	Contributions, gifts, grants, etc., payable . . . . .	13 \$0
14	Mortgages and notes payable (attach schedule) . . . . .	14 \$0
15	Other liabilities (attach schedule) . . . . .	15 \$0
16	<b>Total liabilities</b> (add lines 12 through 15) . . . . .	16 \$0
<b>Fund Balances or Net Assets</b>		
17	Total fund balances or net assets . . . . .	17 \$0
18	<b>Total liabilities and fund balances or net assets</b> (add line 16 and line 17) . . . . .	18 \$0

If there has been any substantial change in any aspect of the organization's financial activities since the end of the period shown above, check the box and attach a detailed explanation . . . . .

**Consent Fixing Period of Limitation Upon  
Assessment of Tax Under Section 4940 of the  
Internal Revenue Code**

(Rev. September 1998)

Department of the Treasury  
Internal Revenue Service

(See instructions on reverse side.)

**To be used with  
Form 1023. Submit  
in duplicate.**

Under section 6501(c)(4) of the Internal Revenue Code, and as part of a request filed with Form 1023 that the organization named below be treated as a publicly supported organization under section 170(b)(1)(A)(vi) or section 509(a)(2) during an advance ruling period,

**KEY International Foundation**

*(Exact legal name of organization as shown in organizing document)*

175 E. Delaware Pl., #6606, Chicago, IL 60611

*(Number, street, city or town, state, and ZIP code)*

and the  
District Director of  
Internal Revenue, or  
Assistant  
Commissioner  
(Employee Plans and  
Exempt Organizations)

consent and agree that the period for assessing tax (imposed under section 4940 of the Code) for any of the 5 tax years in the advance ruling period will extend 8 years, 4 months, and 15 days beyond the end of the first tax year.

However, if a notice of deficiency in tax for any of these years is sent to the organization before the period expires, the time for making an assessment will be further extended by the number of days the assessment is prohibited, plus 60 days.

December 31, 2001

Ending date of first tax year .....  
*(Month, day, and year)*

Name of organization (as shown in organizing document) <b>KEY International Foundation</b>	Date <b>10/15/01</b>
Officer or trustee having authority to sign Signature ▶ <i>Bala Batavia</i>	Type or print name and title <b>Bala Batavia, Director</b>
<b>For IRS use only</b>	
District Director or Assistant Commissioner (Employee Plans and Exempt Organizations)	Date

By ▶

**User Fee for Exempt Organization  
 Determination Letter Request**

▶ Attach this form to determination letter application.  
 (Form 8718 is NOT a determination letter application.)

For IRS Use Only

Control number \_\_\_\_\_  
 Amount paid \_\_\_\_\_  
 User fee screener \_\_\_\_\_

1 Name of organization <b>KEY International Foundation</b>	2 Employer Identification Number <b>36-447-2136</b>
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**Caution:** Do not attach Form 8718 to an application for a pension plan determination letter. Use Form 8717 instead.

- 3 Type of request** **Fee**
- a  Initial request for a determination letter for:
- An exempt organization that has had annual gross receipts averaging not more than \$10,000 during the preceding 4 years, or
  - A new organization that anticipates gross receipts averaging not more than \$10,000 during its first 4 years ▶ **\$150**
- Note:** If you checked box 3a, you must complete the Certification below.

**Certification**

I certify that the annual gross receipts of KEY International Foundation  
name of organization

have averaged (or are expected to average) not more than \$10,000 during the preceding 4 (or the first 4) years of operation.

Signature ▶ Bala Batavia Title ▶ President and Director

- b  Initial request for a determination letter for:
- An exempt organization that has had annual gross receipts averaging more than \$10,000 during the preceding 4 years, or
  - A new organization that anticipates gross receipts averaging more than \$10,000 during its first 4 years ▶ **\$500**
- c  Group exemption letters ▶ **\$500**

**Instructions**

The law requires payment of a user fee with each application for a determination letter. The user fees are listed on line 3 above. For more information, see Rev. Proc. 2000-8, 2000-1, I.R.B. 230.

Check the box or boxes on line 3 for the type of application you are submitting. If you check box 3a, you must complete and sign the certification statement that appears under line 3a.

Attach to Form 8718 a check or money order payable to the United States Treasury for the full amount of the user fee. If you do not include the full amount, your application will be returned. Attach Form 8718 to your determination letter application.

Send the determination letter application and Form 8718 to:  
 Internal Revenue Service  
 P.O. Box 192  
 Covington, KY 41012-0192

If you are using express mail or a delivery service, send the application and Form 8718 to:

Internal Revenue Service  
 201 West Rivercenter Blvd.  
 Attn: Extracting Stop 312  
 Covington, KY 41011

Attach Check or Money Order Here



**KEY International Foundation, EIN: 36-447-2136.**  
**C/O Bala Batavia**  
**175 E. Delaware Place, #6605**  
**Chicago, IL 60611**  
**Attachment to Form 1023, Part I, Line 8.**

Part I, line 8.

The organization is not required to file Form 990 or Form 990-EZ, because the expected gross receipts are less than \$25,000 per year.

**KEY International Foundation, EIN: 36-447-2136.**  
**C/O Bala Batavia**  
**175 E. Delaware Place, #6605**  
**Chicago, IL 60611**  
**Attachment to Form 1023, Part II, Line 1 (3 pages enclosed).**  
**Page 1.**

Planned Activities of the Organization:

The founder of KEY International Foundation, Dr. Bala Batavia, grew up in India but has lived for the last 34 years in the U.S. and has been a naturalized U. S. citizen since 1981. He has traveled widely throughout India and many other developing nations. After observing the widespread poverty and deprivation in these countries, he and several of his friends were motivated to start this foundation to help the impoverished children and their families in developing countries. This foundation will focus on serving children whose families are in the lowest income categories worldwide (with an emphasis on India) and live in abject poverty. This means that most of the families subsist on less than the equivalent of U.S. \$30 a month (or a dollar a day for the whole family), and the children in these families have little or no access to quality primary education and basic healthcare.

The initial members of the Board of Directors of KEY International Foundation will be Dr. Bala Batavia, Dr. Cynthianna Hahn, Mr. Bruce Davis, Mr. William Weaver, and Mr. Marc Waters. Dr. Batavia is a Professor of Economics at DePaul University and has been the prime motivator to the starting of this Foundation. Dr. Hahn has her Ph.D. in Social Work. In the mid 1960s she was a Peace Corps Volunteer in Panama where she lived and worked in a low-income community. Since then has worked as a social worker in a variety of jobs in hospitals and social agencies. Since 1979, she has worked as a social worker in the Chicago Public Schools, and works with students who come from low-income families and who have problems such as learning disabilities, and emotional or behavioral problems. She has also traveled to India, Central and South America, and Europe. Mr. Weaver works as a Creative Designer at the Ashley Group, a book publisher that is a subsidiary of Cahners Business Communications. In the mid 1960s he was a Peace Corps Volunteer in Bombay, India and worked in a variety of roles at the David Sassoon Industrial School, a boys reformatory run by the Children's Aid Society of Bombay. Mr. Waters is currently President of M.W. Floral, Inc. Through his company, he has become involved with several Chicago social service organizations, including Christopher House, an outreach center for impoverished children and the mentally ill, and also for Sarah's Circle, a shelter for abused women and their children. Mr. Davis is a banker and is a Vice-President at the Industrial Bank of Japan in Chicago. He has also worked in several other international banks over the last 20 years. He was born and raised in India, where his father worked for a development agency during the 1950s and 1960s. He has maintained a personal and professional interest in India and in his initial banking career he was a Country Officer for India for Continental Bank. His stay in India made him aware of the widespread poverty there and influenced him to join this organization and offer his services.

**KEY International Foundation, EIN: 36-447-2136.**

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**Attachment to Form 1023, Part II, Line 1.**

**Page 2.**

Most members of the board of directors of KEY International have traveled to India and/or other developing countries and have observed the adverse effects of poverty on the development of young children. This has motivated them to form KEY International Foundation. KEY stands for Kids, Empowerment and You. The diverse background and experiences of the initial members of the Board of Directors will be most useful in running KEY International Foundation efficiently and effectively to meet its goals.

As mentioned in our articles of Incorporation and By-laws the specific purposes for which KEY International is being formed are:

1. To support socio-economic and educational projects serving poor children in developing countries, especially India.
2. To improve access to primary school education and vocational training for the poor.
3. To improve access to primary health care for the poor.
4. To raise necessary funds to achieve the above goals of KEY International.
5. To increase awareness among people in developed countries about the social, medical and environmental problems faced by the very poor in the developing countries.
6. To support and collaborate with persons and organizations already involved in similar activities.

Although KEY International Foundation will focus primarily on children in India, if possible, poor children in other developing countries may also receive some support eventually. Accordingly, the specific activities that we are planning are:

1. Fundraising

We will increase the awareness of the general public in the U.S. to the condition of impoverished children in India and other developing countries through our fundraising events. We will make presentations, set up a website, distribute newsletters periodically through the use of email, and sell merchandise such as hand-made greeting cards made by poor children and their families, etc. **All the funds generated from the public will be used exclusively to support programs in India and developing countries. Members of the board of directors are expected to cover or donate all the administrative costs of running KEY International Foundation.** The Board of Directors expect to start this fundraising activities in early 2002 and plan to spend about 40 percent of their time spent on KEY International Foundation on this activity.

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**Attachment to Form 1023, Part II, Line 1.**

**Page 3.**

2. Identification and monitoring of appropriate projects for funding

We will obtain detailed information about programs serving poor children and their families. We will visit the sites in India and other countries, will observe the quality and the nature of the services being offered, will speak with the managers of these organizations, and to the children and their families to assess the degree to which their needs are being met. This will help us to identify projects for funding.

Subsequent to funding appropriate projects, we propose to monitor the projects carefully to ensure that the goals of helping the poor are being met effectively. This should take up about 40 percent of the time spent on Foundation activities.

Dr. Batavia has already visited some of the sites in India this year and has gathered information that would be helpful in deciding which projects to support. He also plans to visit India at least once each year (at his own expense, and not funded by KEY International Foundation) to continue to gather information for the purposes of identifying and monitoring projects.

3. Collaboration with organizations with similar goals.

We will identify organizations with similar goals and work with them cooperatively to serve needy children and their families. Dr. Batavia has already worked this year with members of some non-profit organizations such as ASHA for Education and Sahaya International, both charity organizations based in the USA, whose focus is also to help the poor in India. He has also initiated contacts with similar organizations in India this year. This activity is expected to take up about 20 percent of the time.

All the above activities will further the goals of providing primary education and healthcare to the poor in developing countries, and thus further the exempt purposes of KEY International Foundation. The members of the Board of Directors and volunteers will conduct the above activities.

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**Attachment to Form 1023, Part II, Line 3.**

Part II, Item 3.

The proposed fund-raising activities of KEY International Foundation will consist of:

-Directors and Volunteers will give lectures and talks to interested groups and parties and solicit funds from individuals and corporations.

-Key International plans to set up a home page on the Internet where it will provide details of its activities that will promote awareness of its programs of help to the poor. We hope this will motivate people to get involved and donate to our cause.

-Members of the Board of Directors and volunteers will plan to sell low-cost hand-made merchandise (for example, greeting cards, etc) that is made by women groups in India. All profits from the sale of such items are to be sent back to such groups to support their educational and social programs. These sales will raise the awareness of the goals of the KEY International Foundation and hopefully encourage more donations.

We expect to start these activities in early 2002; by then we hope to have approval of the tax-exempt status as an officially registered 501(c) 3 nonprofit organization, which should help in our fund-raising. The directors and volunteers of KEY International Foundation will get no reimbursement for their time and efforts; the Foundation does not plan to use professional paid fundraisers. **All money that is raised will be used to sponsor projects in developing countries.** Since KEY International Foundation is new, we plan to keep the overhead costs (such as stamps, mailings, etc) low and do most of our communications by email. Moreover, the directors are expected to donate or cover all overhead costs, so that the donors can be given assurance that 100% of their donations will go to the poor.



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**Attachment to Form 1023, Part IV. Financial data**

**A. Statement of revenue and expenses.**

**Line 1 and line 9.** KEY International Foundation is a new organization. We anticipate that we will raise approximately \$2500 by fund-raising events (line 1), and \$1000 from selling hand-made greeting cards that are produced by the women groups (line 9); see also our response to Part II, Line 3.

**Line 15.** All \$3500 will be used to support and sponsor projects that help the poor in developing countries. Local organizations in developing countries that receive funding will have to keep KEY International Foundation updated with regular progress reports.

The directors and volunteers of KEY International Foundation will not get reimbursed for their time and the travel to developing countries that may be required to fund and monitor the projects. All the travel expenses will be borne individually by the directors. We also expect to keep the overhead costs low, and we expect the directors to cover or donate these costs. Thus we can give our contributors a guarantee that 100% of their donations is being spent on useful and worthy projects of helping the poor in developing countries